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GROUP 3700

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**DATE:** September 10, 2002**FILE:** 10872.0158.NPUS00**TO:** BOX Missing Parts, Commissioner for Patents**COMPANY:** United States Patent and Trademark Office**FAX NO.:** 703-872-9302**FROM:** Mark L. Gleason**SENDER'S  
PHONE:** 952-474-3701

**RETURN  
ORIGINAL  
TO:**

**FLOOR:****NO. OF PAGES TO FOLLOW:** 5**ORIGINAL: WILL NOT FOLLOW****COMMENTS:**

Serial No.: 10/091,178

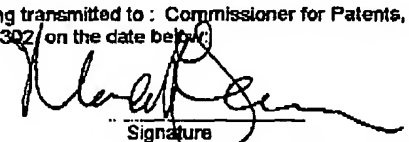
Response to 37 C.F.R. § 1.53(f) Notice (2 pages)

Declaration (2 pages)

A copy of Notice to File Missing Parts of Application-Filing Date Granted (1 page)

**CONFIDENTIALITY NOTE**

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CERTIFICATE OF TRANSMISSION 37 C.F.R. 1.8	
I hereby certify that this correspondence is being transmitted to : Commissioner for Patents, Washington, DC 20231, fax number 703-872-9302 on the date below:	
9/10/02 Date	 Signature

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Steven Rhea et al.

Serial No.: 10/091,178

Filed: 3/4/2002

For: SELF-SEALING DISPENSING VALVE  
FOR HUMIDIFIER WATER BOTTLES

Group Art Unit: 3754

Examiner: Unknown

Atty. Dkt. No.: 10872.0158.NPUS00

10/07/2002 MTEKLEHI 00000004 012508 10091178

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RESPONSE TO 37 C.F.R. § 1.53(f) NOTICE

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f), dated April 10, 2002, there are enclosed herewith:

- (a) Declaration executed on behalf of Steven L. Rhea and Mark J. Tomasiak; and
- (b) A copy of Notice to File Missing Parts of Application-Filing Date Granted.

Applicants hereby request an extension of time of three months to and including September 10, 2002. The Commissioner is authorized to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

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**GROUP 3700**

An Assignment to Emerson Electric Company and a check for \$40.00 are being filed under separate cover.

The Commissioner is authorized to charge the fee of \$1,958 to Deposit Account No. 01-2508/10872.0158.NPUS00 for filing fee (\$740); additional claims fee (\$168); late filing fee (130); and three-month extension of time fee (\$920). Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Deposit Account No. 01-2508/10872.0158.NPUS00.

Respectfully submitted,



Mark L. Gleason  
Reg. No. 39,998  
Attorney for Applicants

HOWREY SIMON ARNOLD  
& WHITE, LLP  
750 Bering Drive  
Houston, TX 77057-2198  
(713) 787-1400

Date:

9/10/02

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Page 1 of 1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/091,178	03/04/2002	Steven Rhea	ESPD:158

28720  
HOWREY SIMON ARNOLD & WHITE LLP  
ATTEN. DOCKETING DEPT. (A)  
750 BERING DRIVE  
HOUSTON, TX 77057

CONFIRMATION NO. 9954

## FORMALITIES LETTER

\*OC00000007835388\*

Date Mailed: 04/10/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$168.
  - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1038.

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*A copy of this notice **MUST** be returned with the reply.*

H. Torong  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/02/2002 SSALEKU 00000004 012508 10091178

01 FC:101	740.00 CH
02 FC:102	168.00 CH
03 FC:105	130.00 CH